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2/3/ #12 nd 12-12-03

TD ANON		Application No.	10/08	5,839				
TRANSMITTAL FORM			Filing Date	Febru	ary 25, 2002 RECEIV	VED.		
(to be used for all corr	nitial filing)	First Named Inventor		ael A. Kozuch				
		Se ye.	Group Art Unit	2131	AUG 2 7	1003		
		•	Examiner Name	Gail (	D. Hayes Technology Ce	nter 2100		
Total Number of Page	es in This Submission	14	Attorney Docket Number	er 42390	42390P10794			
	ENCLOSU	IRES (checi	k all that apply)			]		
Fee Transmittal Form	Drawing(s)			After Allowance Communication to Group				
Fee Attached		Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Respo	onse	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/dec	laration(s)	Petition to O Provisional	Convert a Application		Proprietary Information			
Extension of Time R	equest	Power of Al Change of	ttorney, Revocation Correspondence Addres	1`	Status Letter			
Express Abandonme	Express Abandonment Request				Other Enclosure(s) (please identify below):			
Information Disclosu	re Statement	Request for	Refund	8	Cited References			
PTO/SB/08		CD, Numbe	er of CD(s)					
Certified Copy of Pri Document(s)	ority							
Response to Missing Incomplete Application	g Parts/ L on R	emarks						
Basic Fili						1		
Declaration					٠			
Response to Parts under 3 1.52 or 1.53	7 CFR							
	SIGNATURE (	OF APPLICAN	IT, ATTORNEY, OR A	GENT		1		
Firm v	William W. Schaa	al, Reg. No. 3	39,018		"			
or Individual name	BLAKELY, SO	KOLOFF, 1	TAYLOR & ZAFN	IAN LI	LP			
Signature	His	7/	2					
Date	August 20, 2003							
			ING/TRANSMISSION			)		
					on the date shown below with Box 1450, Alexandria, VA			
Typed or printed name	Corrinn R. Rey	<del>// // -</del>				]		
Signature	10	Key	yold-	Date	August 20, 2003	)		

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

(\$)

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

С	Complete if Known
Application Number	10/085,839
Filing Date	February 25, 2002
First Named Inventor	Michael A. Kozuch
Examiner Name	Gail O. Hayes
Group/Art Unit	2131 DECEIVE
Attorney Docket No.	42390P10794

08/20/03

Date

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)AUG 2	7 2003
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Check Credit card Money Other None	Large Entity   Small Enti		II Entity	, Technology	Center 2 10	
Deposit Account	Fee	Fee	Fee	Fee	_	
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Number UZ-2000	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Daniel Call Locate 1 0 7 C LLD	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920	<ul> <li>Requesting publication of SIR prior to Examiner action</li> </ul>	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1.840	* Requesting publication of SIR after	<del></del>
Charge fee(s) indicated below, except for the filling fee	1603	1,040	1805	1,040	Examiner action	
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month	
Large Entity Small Entity	1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1255	1,970	2255	985	Extension for reply within fifth month	
	1404	320	2401	160	Notice of Appeal	
1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing	
1004 750 2004 375 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1453	1,300	2453	650	Petition to revive - unintentional	
	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	470	2502	235	Design issue fee	<u> </u>
Ctaims below Fee Paid Total Claims	1503	630	2503	315	Plant issue fee	
Independent - 20 = ^	1460	130	2,460	130	Petitions to the Commissioner	
Claims 3 X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	<u> </u>
1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	
1201 84 2201 42 Independent claims in excess of 3  1203 280 2203 140 Multiple Dependent claim, if not paid		. 55		J. <b>J</b>	examined (37 CFR § 1.129(b))	
1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over original	1801	750	2801	375	Request for Continued Examination (RCE)	
patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	ee (specify)	•	_	ога осолут аррисацоп	
SUBTOTAL (2) (\$)						
**or number previously paid, if greater, For Reissues, see below	*Reduced	d by Basic Filin	ng Fee Pai	id	SUBTOTAL (3)	
				===	Complete (if applie	ablo)
SUBMITTED BY	T R	egistratio	on No.	$\top$	Complete (if applied	
Name (Print/Type) William W. Schaad		ttomey/Age		3	<b>39,018</b>   Telephone   (503) 68	34-0200

Signature



Docket No.: 42390P10794

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICHAEL A. KOZUCH, ET AL.

Application No.: 10/085,839

Filed: February 25, 2002

For: METHOD AND APPARATUS FOR

LOADING A TRUSTABLE OPERATING

**SYSTEM** 

Art Group: 2131

Examiner: Gail O. Hay ECEIVED

AUG 2 7 2003

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement (IDS) for the above-referenced patent application. In the concurrently filed electronic IDS submission, it was requested that any requisite fees be debited from Deposit Account 02-2666. Applicant respectfully requests that only one fee be debited for these concurrently filed IDS submissions if such fees are required.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: August 20, 2003

1)

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

-R Reguelle

Corrinn R. Reynolds

Date

08-20-03

Subs	titute fo	or Form 1449A/I	PTO (Modified)		Complete if Known					
			N DISCLO	SUBE	Application Number		10/085,839			
		<b>~</b>			Filing Date	Filing Date First Named Inventor: Group Art Unit		02/25/2002 Kozuch, et al. 2131		
5	STA	<b>LEMENT</b>	BY APPL	ICANT	First Named Inven					
AUS	2 2 20	രൂ (use as many s	heets as necessary,	)	Group Art Unit					
					Examiner Name		Gail O. Ha	Gail O. Hayes		
Shee	t ADEAN		of 2		Attorney Docket No	umber	042390.P1	0794		
9	100	,		U.S. PAT	ENT DOCUMENTS	•				
Exam.			ent Document	Name of F	Patentee or Applicant		f Publication of	Pages, Columns, L		
Initial* No.	Number Code <sup>2</sup>	Kind (If known)	of C	ited Document		d Document I-DD-YYYY	Where Relevan Passages or Relev Figures Appear	/ant		
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Exa	Cite		ent Document	1	atentee or Applicant	Date of Po		Pages, Columns,	T <sup>6</sup>	

					FOREIGN PATENT DOCUMEN	NTS		
Exa Cite No.¹		Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
			WO 02 17555	Α	Verisign, Inc.	02/28/2002		
		7	WO 01 75564	Α	Intel Corporation	10/11/2001		
		7	WO 02 086684	Α	Hewlett Packard	10/31/2002		
		/	JP02000076139	Α	Nippon	03/14/2000		

	A CALL OF THE CALL	·- <u></u> -	
Examiner		Date Considered	
Signature			

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute fo	Substitute for Form 1449A/PTO (Modified)				Complete if Known					
INFORMATION DISCLOSURE				LOSURF	Application Number	10/085,839				
TPE					Filing Date	02/25/2002	02/25/2002			
STA	STAREMENT BY APPLICANT				First Named Inventor:	al.				
2000		nany shee	ets as neces	ssary)	Group Art Unit					
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heet	28		of	2	Attorney Docket Number	042390.P1	0794			
PADEMAR	<b>y</b>		OTHE	R ART – NO PATE	NT LITERATURE DOCUM	IENTS				
Examiner Initials*	Cite No <sup>1</sup>	ite	em (book, r	magazine, journal, se number(s), publ	AL LETTERS), title of the artic rial, symposium, catalog, etc.) isher, city and/or country wher	, date, page(s), re published	volume-issue	T <sup>2</sup>		
	/	"Information Display Technique for a Terminate Stay Resident Program," IBM Technical Disclosure Bulletin, TDB-ACC-No. NA9112156, 12/1/91, pp. 156-158, Vol. 34, Issue No. 7A								
	/	Suppo Sympo	rt a Secu sium, 8/1	re Virtual Machine I4/2000, pp. 1-17, )	E, CYNTHIA E., "Analysis of Monitor," Proceedings of th (P002247347, Denver, CO	e 9th USENIX	Security			
	/	of the	KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture," Proceedings of the Symposium on Research in Security and Privacy, 5/7/1990, pp. 2-19, XP010020182,							
		ISBN: 0-8186-2060-9, Boxborough, MA. CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM								
		Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA.								
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.